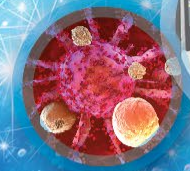
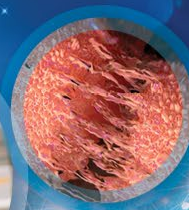


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IMbrave050: Phase 3 study of adjuvant atezolizumab + bevacizumab versus active surveillance in patients with hepatocellular carcinoma at high risk of disease recurrence following resection or ablation

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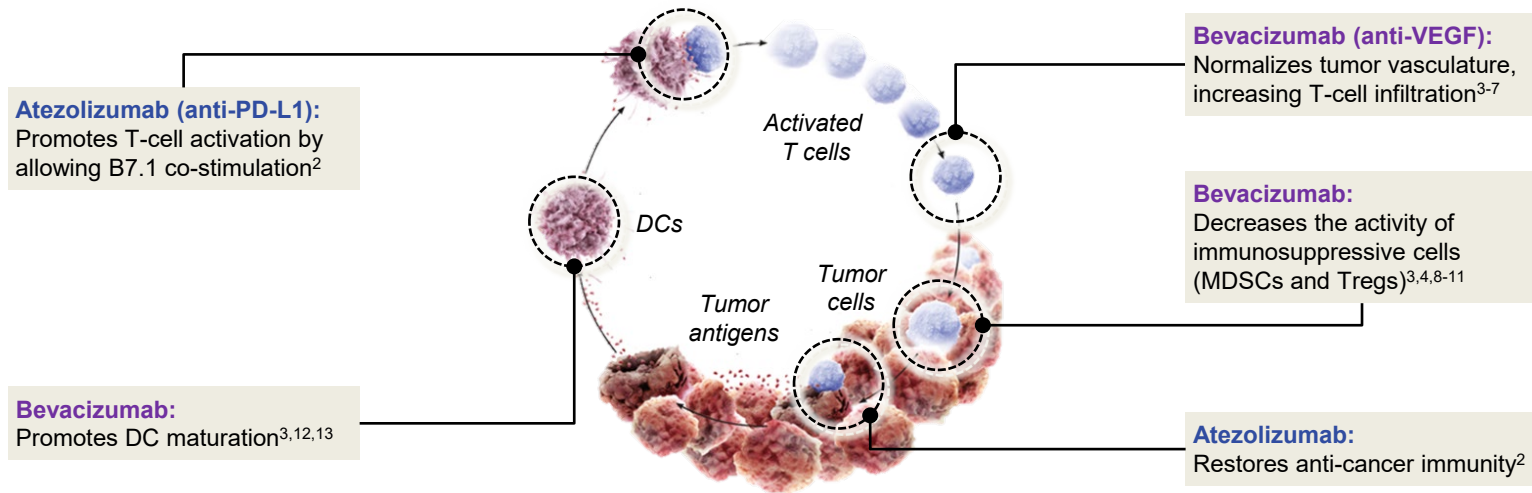
Honoraria from: AstraZeneca, Bayer, Perspectum, Roche, Sirtex, and Worrell

Background

- Currently, no standard of care exists in the adjuvant setting for hepatocellular carcinoma (HCC) following resection or ablation with curative intent
- The risk of postoperative recurrence is high, with a reported 63% recurrence rate at 5 years. This rate is even higher in patients with **high-risk features** (e.g., large tumor size, multiple tumors, poor tumor differentiation, or vascular invasion)^{1,2}
 - Recurrence occurs in a **bimodal pattern**, with most events appearing within 2 years of resection or ablation followed by a second wave at 4-5 years^{1,3}
- The **Phase 3 IMbrave150 study** demonstrated statistically significant and clinically meaningful improvement in progression-free survival, overall survival and objective response rate with atezolizumab (atezo) + bevacizumab (bev) compared with sorafenib in the first-line unresectable HCC setting, establishing atezo + bev as a standard of care^{4,5}
- Here we report the results of **IMbrave050**, a global, open-label, Phase 3, randomized study of atezo + bev vs active surveillance in patients at high risk of disease recurrence following resection or ablation with curative intent

Mode of action of atezolizumab + bevacizumab

VEGF/PD-L1 blockade augments anti-cancer immune mechanisms relevant to postoperative HCC recurrence^{1,2,a}

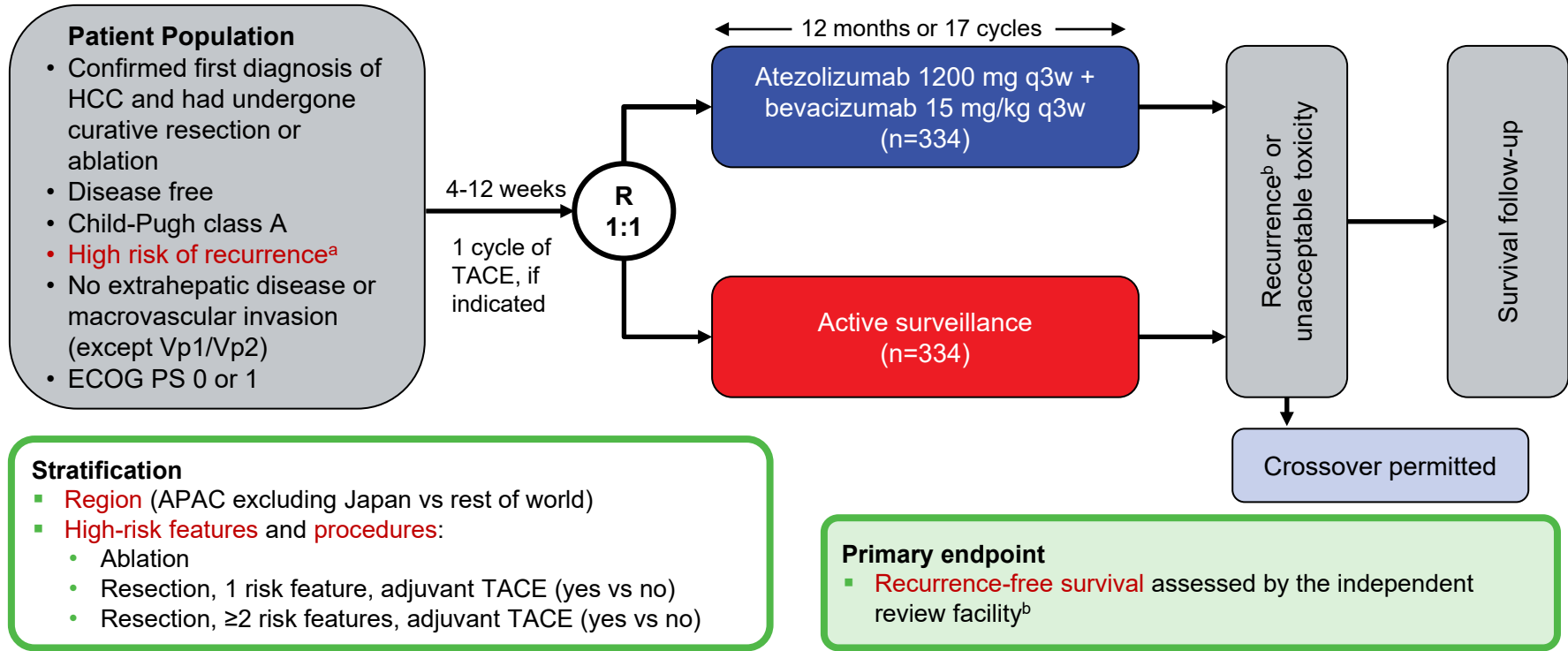


- Bevacizumab combined with atezolizumab can induce **favorable immune modulation** of the hepatic tumor microenvironment, thereby enhancing antitumor immunity
- These hypotheses on the mode of action of atezolizumab + bevacizumab were **validated** in the correlative biomarker analyses of the Phase 3 IMbrave150 study¹⁴

^a Figure adapted from Chen and Mellman. Immunity 2013. DC, dendritic cell; MDSC, myeloid-derived suppressor cell; Treg, regulatory T cell; VEGF, vascular endothelial growth factor.

1. Hack et al. Future Oncol 2020; 2. Chen, Mellman. Immunity 2013; 3. Hegde et al. Semin Cancer Biol 2017; 4. Wallin et al. Nat Commun 2016; 5. Goel et al. Physiol Rev 2011; 6. Motz et al. Nat Med 2014; 7. Hodi et al. Cancer Immunol Res 2014; 8. Gabrilovich et al. Nat Rev Immunol 2009; 9. Roland et al. PLoS One 2009; 10. Facciabene et al. Nature 2011; 11. Voron et al. J Exp Med 2015; 12. Gabrilovich et al. Nat Med 1996; 13. Oyama et al. J Immunol 1998; 14. Zhu et al. Nat Med 2022.

IMbrave050 study design

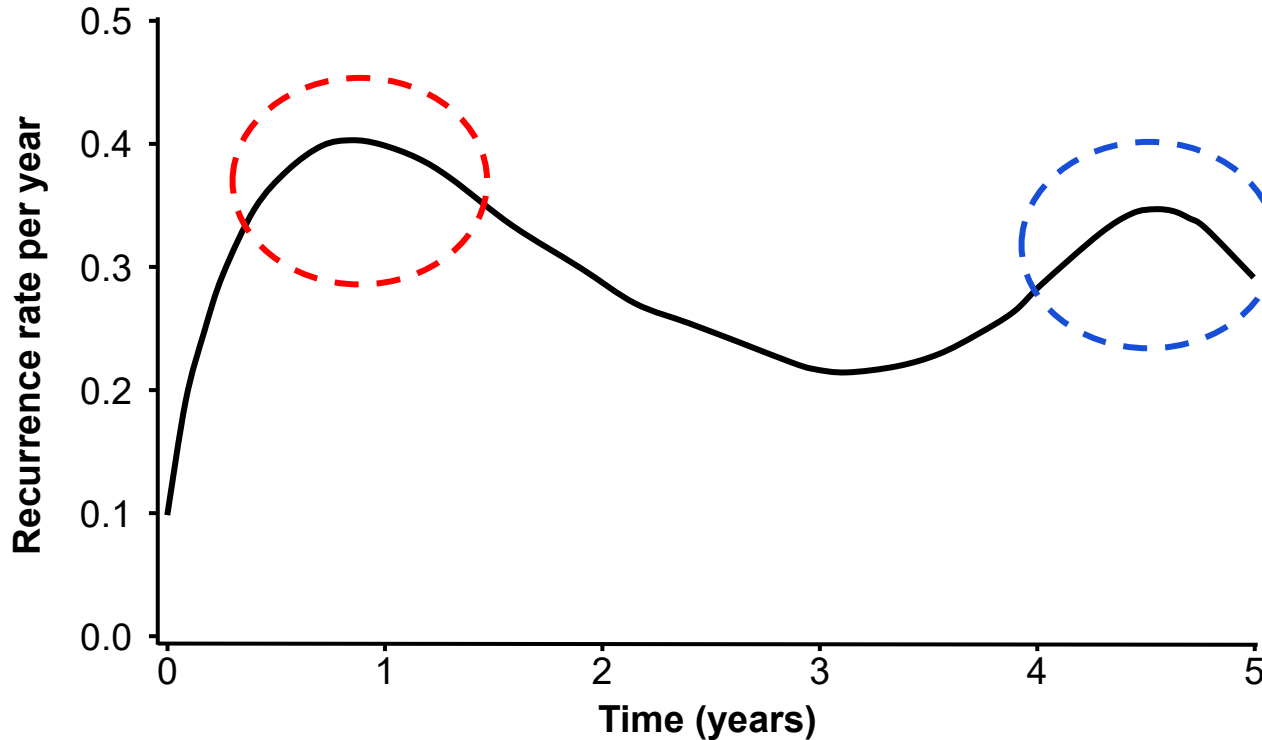


ClinicalTrials.gov, NCT04102098. ECOG PS; Eastern Cooperative Oncology Group performance status; Q3W, every three weeks; R, randomization; TACE, transarterial chemoembolization.

^a **High-risk features** include: tumor >5 cm, >3 tumors, microvascular invasion, minor macrovascular invasion Vp1/Vp2, or Grade 3/4 pathology.

^b Intrahepatic recurrence defined by EASL criteria. Extrahepatic recurrence defined by RECIST 1.1.

Bimodal recurrence after HCC resection



- Recurrence rate after resection peaks at around **1 year**, then gradually decreases over the next 2 years.¹ Current consensus is that these recurrences are from **micro-metastases**
- A second lower postoperative recurrence peak occurs at **4-5 years**¹
- The second peak is currently understood to be due to **de novo tumors** associated with underlying liver disease²

High-risk criteria by curative treatment

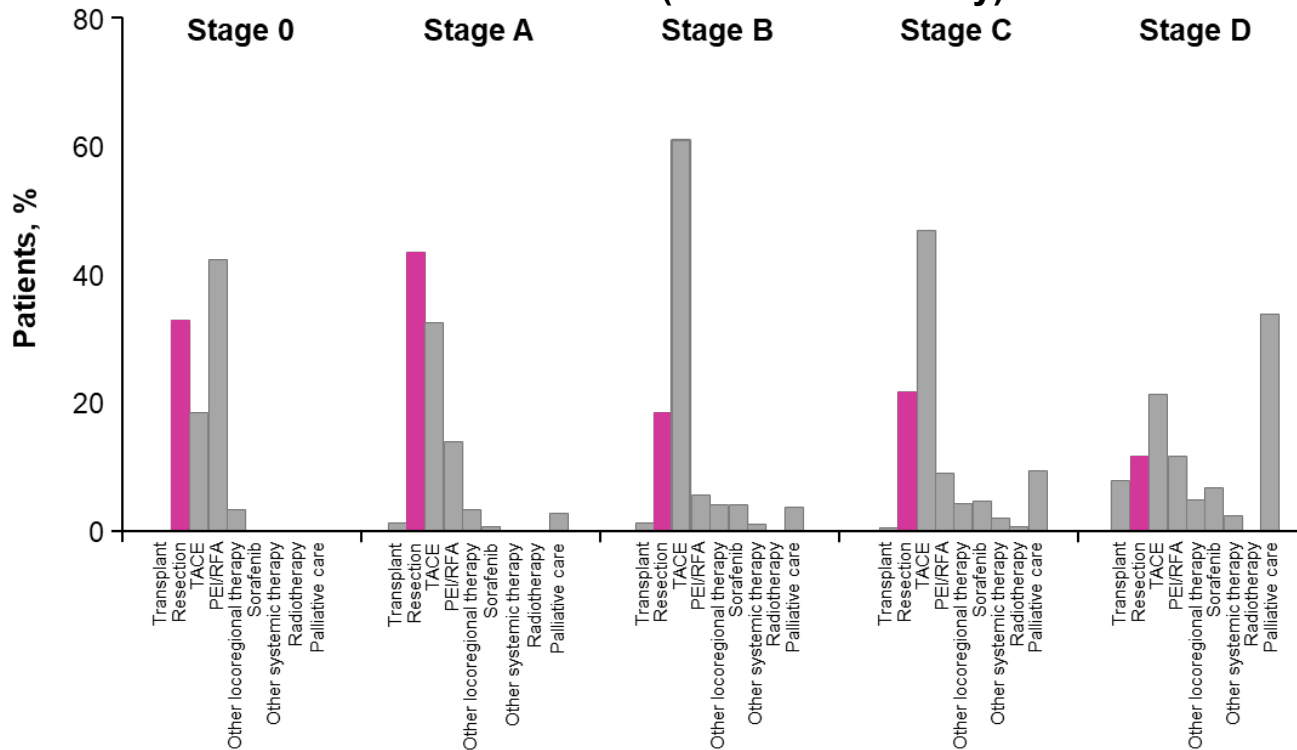
Curative treatment	Criteria for high risk of HCC recurrence
Resection	<ul style="list-style-type: none"> ▪ ≤3 tumors, with largest tumor >5 cm regardless of vascular invasion,^a or poor tumor differentiation (Grade 3 or 4) ▪ ≥4 tumors, with largest tumor ≤5 cm regardless of vascular invasion,^a or poor tumor differentiation (Grade 3 or 4) ▪ ≤3 tumors, with largest tumor ≤5 cm with vascular invasion,^a and/or poor tumor differentiation (Grade 3 or 4)
Ablation^b	<ul style="list-style-type: none"> ▪ 1 tumor >2 cm but ≤5 cm ▪ Multiple tumors (≤4 tumors), all ≤5 cm

^a Microvascular invasion or minor macrovascular portal vein invasion of the portal vein—Vp1/Vp2.

^b Ablation must be radiofrequency ablation or microwave ablation.

Resection is frequently used as first treatment for HCC with high-risk factors for recurrence

First recorded HCC treatment by BCLC stage
(the BRIDGE study)¹



- Globally, treatment practices include surgical resection for **high-risk patients** like those enrolled in IMbrave050

1. Park et al. Liver Int 2015.

Study endpoints and testing hierarchy

Study endpoints

Primary endpoint

- Recurrence-free survival (RFS) assessed by independent review facility (IRF)

Secondary endpoints

- RFS assessed by investigator (INV)
- Time to recurrence assessed per IRF
- Overall survival (OS)

Other endpoints

- Safety

Overall Type I error 0.05 (2-sided) hierarchical testing

IRF-assessed RFS
(interim analysis)

Number of events = 243
Stopping boundary (P value) = 0.0195
Target HR = 0.73

If RFS is positive:

OS
(1st interim analysis)
Information fraction = 14.7%
Expected^a information fraction = 33.5%

^a Per protocol.

Baseline characteristics were balanced across treatment arms

Characteristic	Atezo + bev (n=334)	Active surveillance (n=334)
Median age (range), years	60 (19-89)	59 (23-85)
Male sex , n (%)	277 (82.9)	278 (83.2)
Ethnicity , n (%)		
Asian	276 (82.6)	269 (80.5)
White	35 (10.5)	41 (12.3)
Other	23 (6.9)	24 (7.2)
Geographic region , n (%)		
Asia Pacific excluding Japan rest of world	237 (71.0) 97 (29.0)	238 (71.3) 96 (28.7)
ECOG PS score , n (%)		
0 1	258 (77.2) 76 (22.8)	269 (80.5) 65 (19.5)
PD-L1 status , n (%) ^{a,b}		
≥1% <1%	154 (54.0) 131 (46.0)	140 (50.2) 139 (49.8)
Etiology , n (%)		
Hepatitis B	209 (62.6)	207 (62.0)
Hepatitis C	34 (10.2)	38 (11.4)
Non viral unknown	45 (13.5) 46 (13.8)	38 (11.4) 51 (15.3)
BCLC stage at diagnosis , n (%)		
0	2 (0.6)	3 (0.9)
A	287 (85.9)	277 (82.9)
B	25 (7.5)	32 (9.6)
C	20 (6.0)	22 (6.6)

Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo. BCLC; Barcelona Clinic Liver Cancer.

^a n=285 for atezo + bev and 279 for active surveillance. ^b PD-L1 expression is defined as the total percentage of the tumor area covered by tumor and immune cells stained for PD-L1 using the SP263 immunohistochemistry assay (VENTANA).

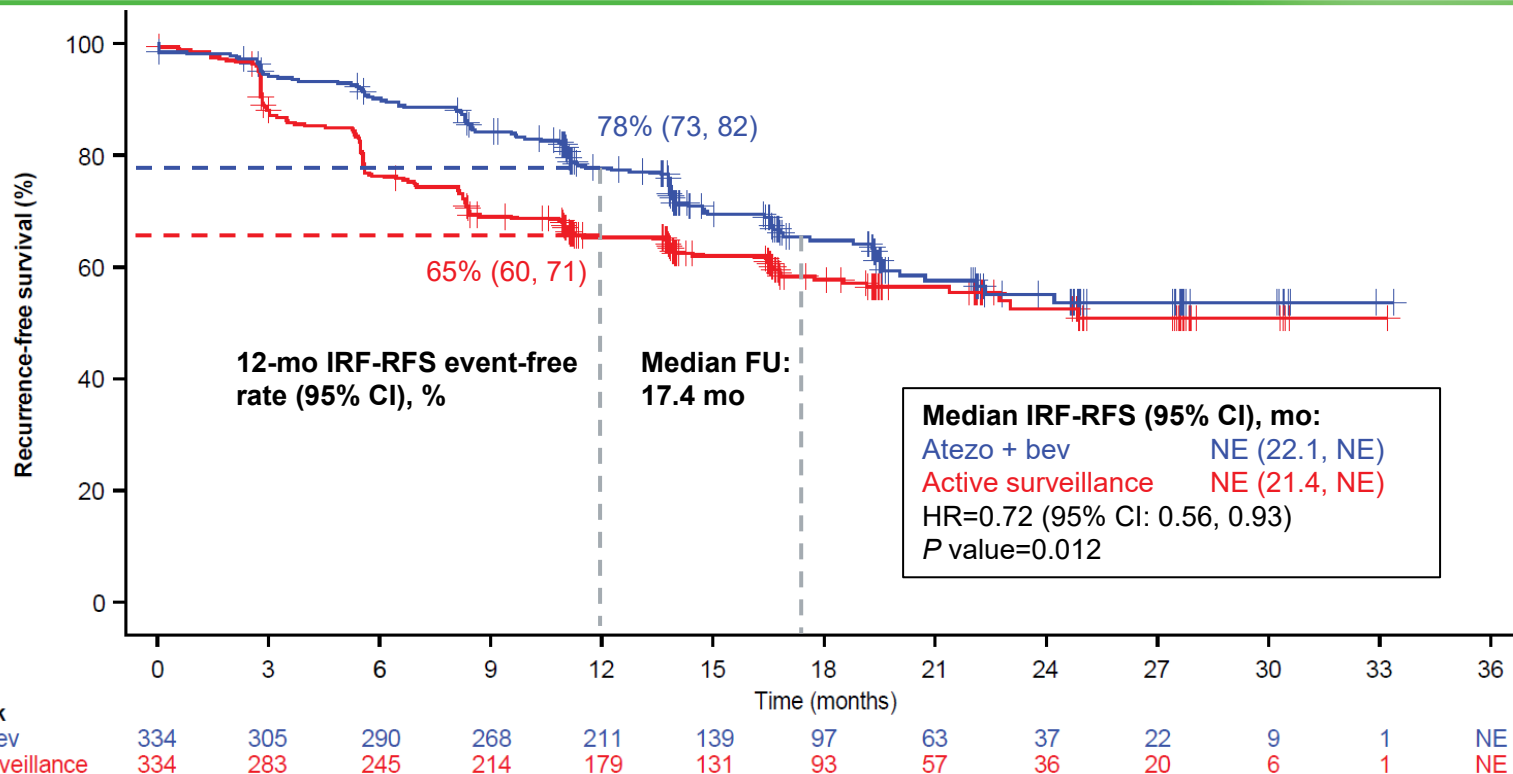
Baseline characteristics—curative procedures

Characteristic	Atezo + bev (n=334)	Active surveillance (n=334)
Resection , n (%)	293 (87.7)	292 (87.4)
Longest diameter of the largest tumor at diagnosis, median (range), cm ^a	5.3 (1.0-18.0)	5.9 (1.1-25.0)
Tumors, n (%)		
1	266 (90.8)	260 (89.0)
2	20 (6.8)	29 (9.9)
3	4 (1.4)	2 (0.7)
4+	3 (1.0)	1 (0.3)
Adjuvant TACE following resection, n (%)	32 (10.9)	34 (11.6)
Any tumors >5 cm, n (%)	152 (51.9)	175 (59.9)
Microvascular invasion present, n (%)	178 (60.8)	176 (60.3)
Minor macrovascular invasion (Vp1/Vp2) present, n (%)	22 (7.5)	17 (5.8)
Poor tumor differentiation (Grade 3 or 4), n (%)	124 (42.3)	121 (41.4)
Ablation , n (%)	41 (12.3)	42 (12.6)
Longest diameter of the largest tumor at diagnosis, median (range), cm	2.5 (1.2-4.6)	2.6 (1.5-4.6)
Tumors, n (%)		
1	29 (70.7)	31 (73.8)
2	11 (26.8)	8 (19.0)
3	1 (2.4)	3 (7.1)

Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo.

^a 1 patient in the atezo + bev arm was excluded from the calculation due to data entry error.

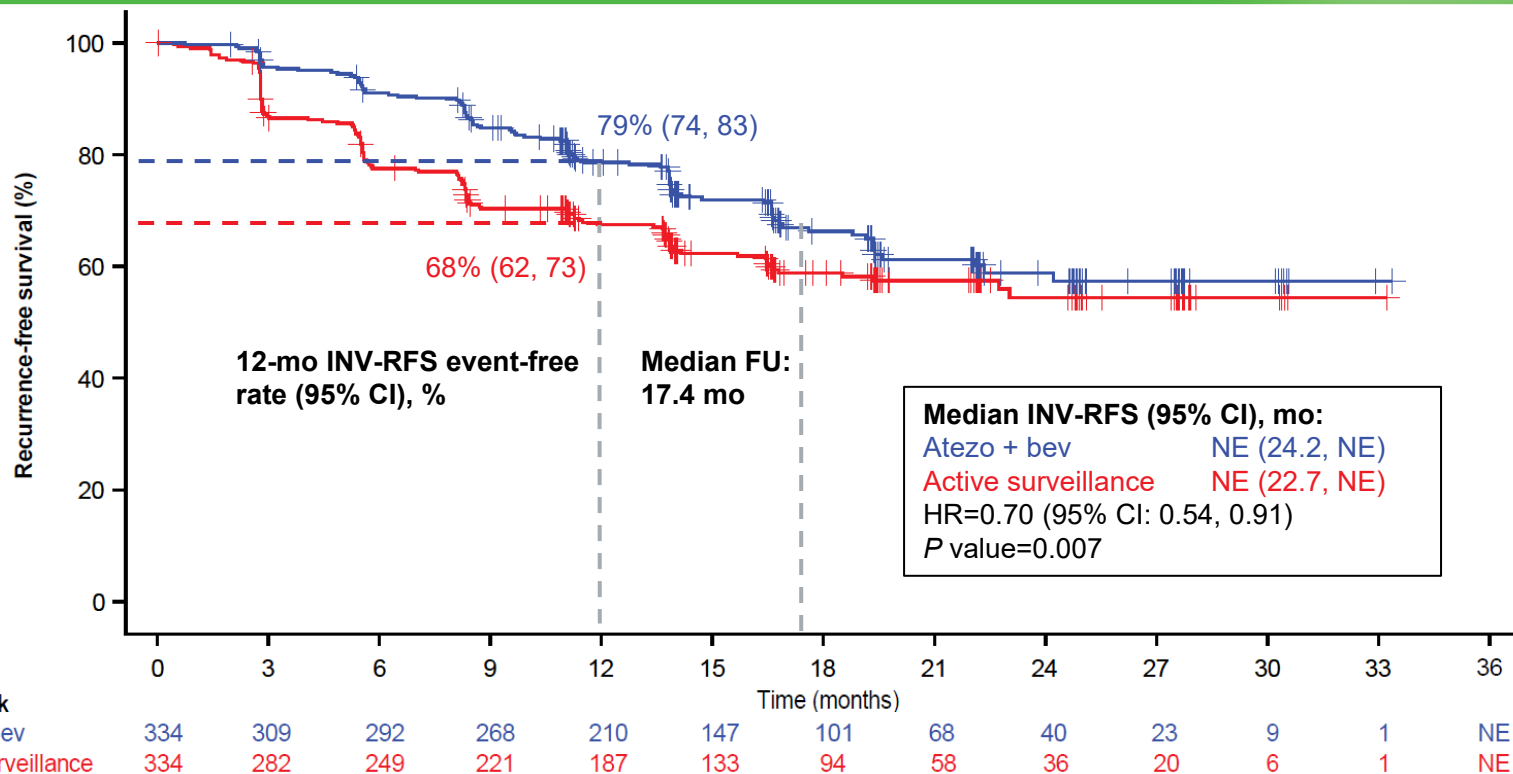
Primary endpoint: IRF-assessed RFS was significantly improved with atezo + bev vs active surveillance



Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo. At clinical cutoff, 110 of 334 patients (33%) in the atezo + bev arm and 133 of 334 (40%) in the active surveillance arm experienced disease recurrence or death.

FU, follow-up; NE, not estimable. HR is stratified. P value is a log rank.

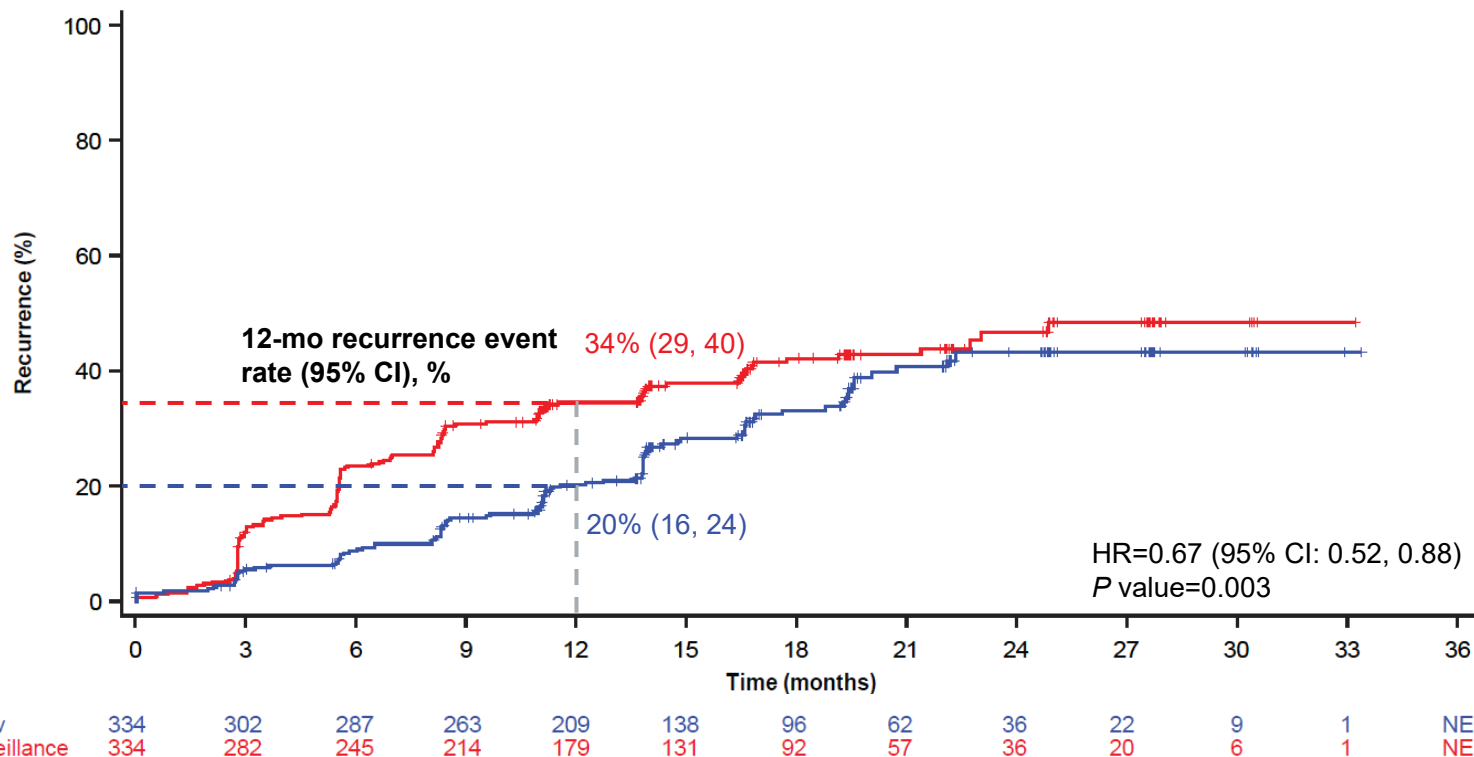
INV-assessed RFS results were consistent with those of IRF-assessed RFS



Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo. At clinical cutoff, 103 of 334 patients (31%) in the atezo + bev arm and 128 of 334 (38%) in the active surveillance arm experienced disease recurrence or death.

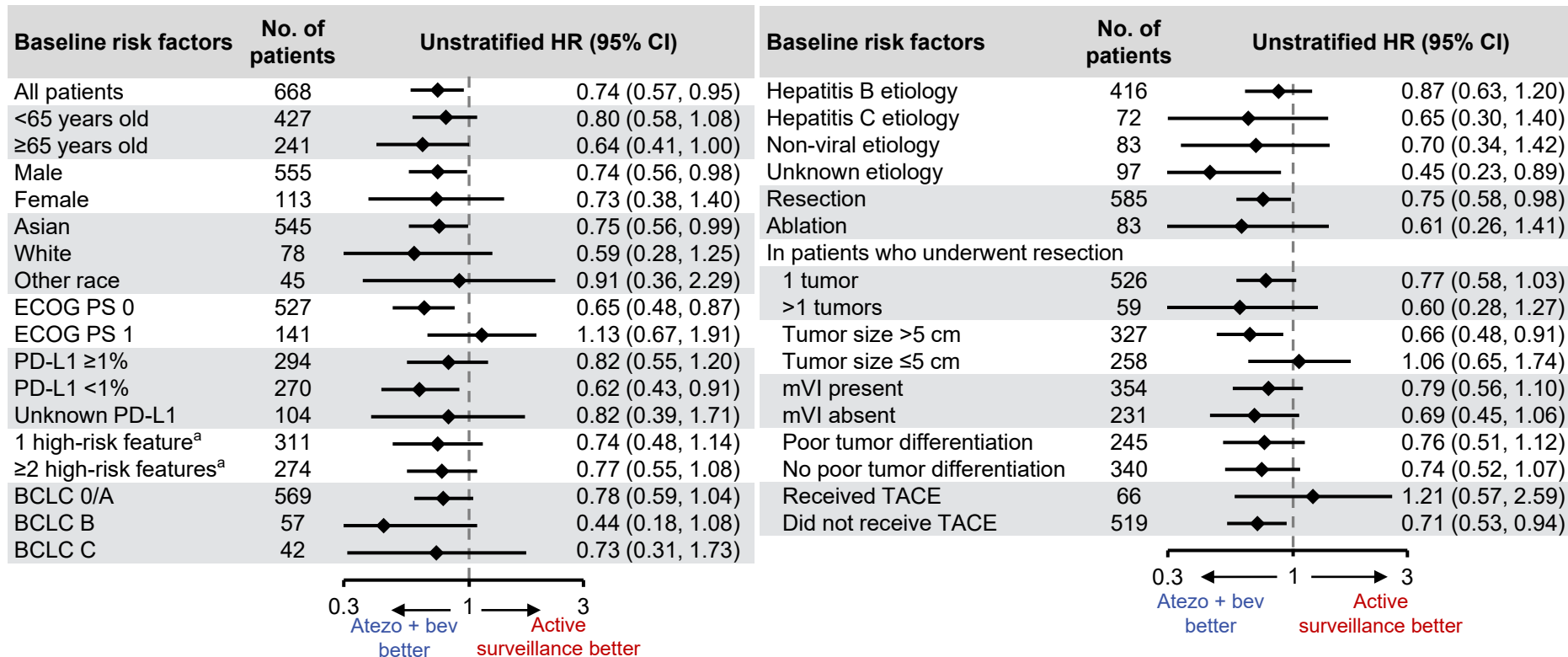
HR is stratified. P value is a log rank.

IRF-assessed disease recurrence was 33% lower in the atezo + bev group than the active surveillance group



Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo.
HR is stratified. P value is a log rank.

IRF-assessed RFS subgroups

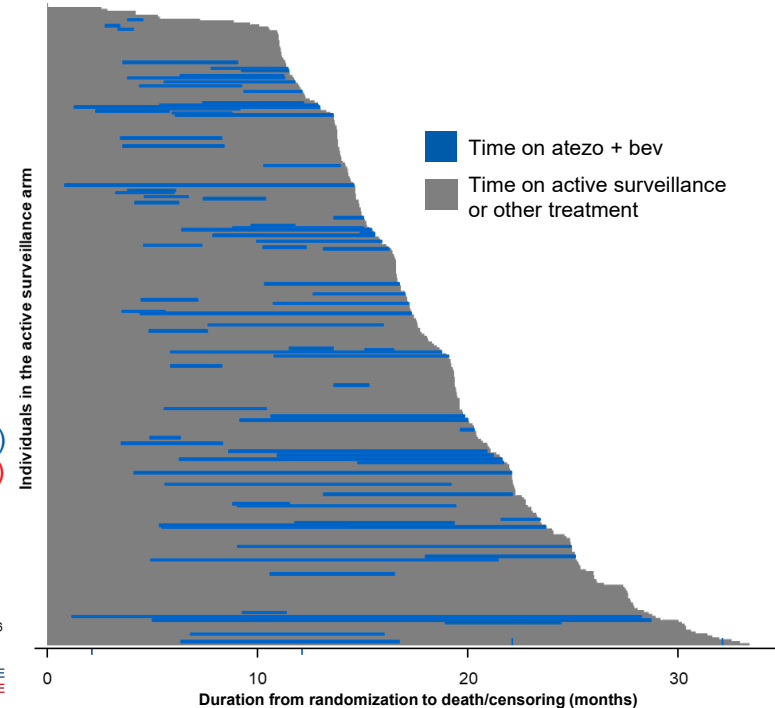
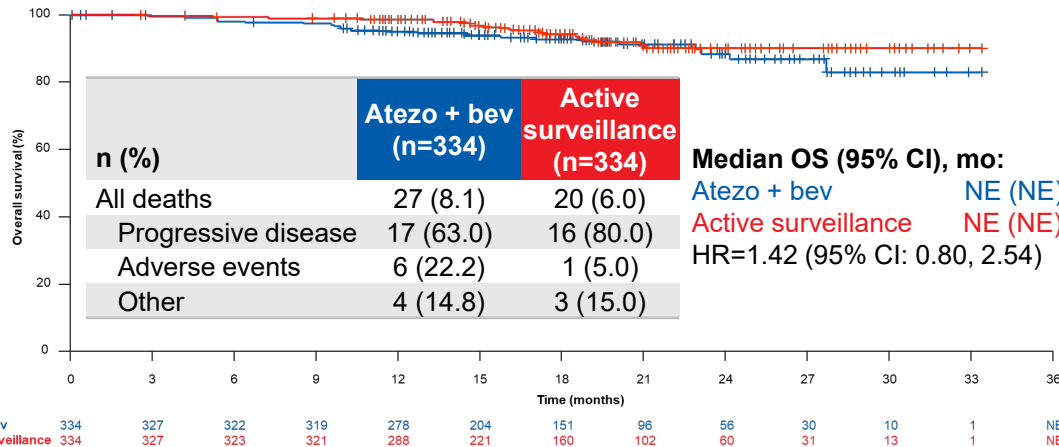


Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo.
 mVI, microvascular invasion. ^a Patients who underwent ablation were categorized as “not applicable.”

Overall survival was highly immature

- OS is highly immature, with a **7% event-patient ratio (n=47)**. There were:
 - 7 more deaths in the atezo + bev arm (27 vs 20)
 - Similar number of deaths due to HCC recurrence
 - 3 COVID-19-related deaths within 1 year of randomization, all in the atezo + bev arm
- Patients in the active surveillance arm were allowed to **cross over** to receive atezo + bev either directly after **IRF-confirmed recurrence** or following a **second resection or ablation**

Of the 133 patients with an RFS event during active surveillance, **81 (61%) crossed over to atezo + bev**



Safety summary

	Atezo + bev (n=332)	Active surveillance (n=330)	IMbrave150 ^{1,2} (n=329)
Treatment duration, median, mo	Atezo: 11.1 Bev: 11.0	NA	Atezo: 7.4 Bev: 6.9
Patients with ≥1 AE, n (%)	326 (98.2)	205 (62.1)	323 (98.2)
Treatment-related AE	293 (88.3)	NA	276 (83.9)
Grade 3/4 AE, n (%)	136 (41.0)	44 (13.3)	186 (56.5)
Treatment-related Grade 3/4 AE	116 (34.9)	NA	117 (35.6)
Serious AE, n (%)	80 (24.1)	34 (10.3)	125 (38.0)
Treatment-related serious AE	44 (13.3)	NA	56 (17.0)
Grade 5 AE, n (%)	6 (1.8)	1 (0.3)	15 (4.6)
Treatment-related Grade 5 AE	2 (0.6) ^a	NA	6 (1.8)
AE leading to dose interruption of any study treatment, n (%)	155 (46.7)	NA	163 (49.5)
AE leading to withdrawal from any study treatment, n (%)	63 (19.0)	NA	51 (15.5)

Clinical cutoff: October 21, 2022; median follow-up duration: 17.4 mo. In safety-evaluable patients. AE, adverse event. NA, not available.

^a Esophageal varices hemorrhage and ischemic stroke; 1 was related to atezo and bev and the other was related to bev only.

1. Finn et al. NEJM 2020. 2. Data on file.

AE of any grade with an incidence rate of $\geq 10\%$ in either treatment group by preferred term

Event, n (%)	Atezo + bev (n=332)		Active surveillance (n=330)	
	Any grade	Grade 3 or 4	Any grade	Grade 3 or 4
Proteinuria	154 (46.4)	29 (8.7)	12 (3.6)	0
Hypertension	127 (38.3)	61 (18.4)	10 (3.0)	3 (0.9)
Platelet count decreased	66 (19.9)	15 (4.5)	22 (6.7)	4 (1.2)
Aspartate aminotransferase increased	52 (15.7)	3 (0.9)	18 (5.5)	2 (0.6)
Alanine aminotransferase increased	47 (14.2)	2 (0.6)	18 (5.5)	3 (0.9)
Hypothyroidism	47 (14.2)	0	1 (0.3)	0
Arthralgia	40 (12.0)	1 (0.3)	8 (2.4)	1 (0.3)
Pruritus	40 (12.0)	1 (0.3)	3 (0.9)	0
Rash	40 (12.0)	0	1 (0.3)	0
Blood bilirubin increased	34 (10.2)	1 (0.3)	23 (7.0)	1 (0.3)
Pyrexia	34 (10.2)	0	7 (2.1)	0

Conclusions

- IMbrave050 is the first Phase 3 study of adjuvant treatment for HCC to demonstrate RFS improvement following curative intent resection or ablation
- At the **prespecified interim analysis**, adjuvant atezolizumab + bevacizumab met its **primary endpoint** and showed a statistically significant and clinically meaningful improvement in IRF-assessed RFS vs active surveillance in patients with a high risk of HCC recurrence (HR, **0.72**; 95% CI: **0.56, 0.93**; ***P*=0.012**)
 - Similar improvement in INV-assessed RFS was also observed
- RFS benefit with atezolizumab + bevacizumab was **generally consistent across key clinical subgroups**
- At the time of this prespecified interim analysis, **OS** was highly immature compared with assumptions made in the protocol; longer follow-up for OS is needed
- The **safety profile** of adjuvant atezolizumab + bevacizumab was generally consistent with that of each agent and with the underlying disease
- Atezolizumab + bevacizumab may be a **practice-changing adjuvant treatment option** for patients with high-risk HCC that may change the **clinical indications for surgical resection**

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